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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

SECURITIES AND EXCHANGE COMMISSION,  
  
Plaintiff,  
  
vs.  
  
SALEEM KHAN, et al.,  
  
Defendants.

Case No. 3:14-CV-02743-HSG (DMR)

FINAL JUDGMENT AS TO  
DEFENDANT ROSHANLAL  
CHAGANLAL

1 The Securities and Exchange Commission having filed a Complaint and Defendant Roshanlal  
 2 Chaganlal (“Defendant”) having entered a general appearance; consented to the Court’s jurisdiction  
 3 over Defendant and the subject matter of this action; consented to entry of this Final Judgment  
 4 without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived  
 5 findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

6 I.

7 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently  
 8 restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities  
 9 Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated  
 10 thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or  
 11 of the mails, or of any facility of any national securities exchange, in connection with the purchase or  
 12 sale of any security:

- 13 (a) to employ any device, scheme, or artifice to defraud;
- 14 (b) to make any untrue statement of a material fact or to omit to state a material fact  
 15 necessary in order to make the statements made, in the light of the circumstances  
 16 under which they were made, not misleading; or
- 17 (c) to engage in any act, practice, or course of business which operates or would  
 18 operate as a fraud or deceit upon any person.

19 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal  
 20 Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive  
 21 actual notice of this Final Judgment by personal service or otherwise: (a) Defendant’s officers,  
 22 agents, servants, employees, and attorneys; and (b) other persons in active concert or participation  
 23 with Defendant or with anyone described in (a).

24 II.

25 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, pursuant to Section  
 26 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)], Defendant is prohibited, for 10 years  
 27 following the date of entry of this Final Judgment, from acting as an officer or director of any issuer  
 28

1 that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 78l]  
 2 or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].

3 III.

4 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is  
 5 liable for disgorgement of \$130,000, representing profits gained as a result of the conduct alleged in  
 6 the Complaint, together with prejudgment interest thereon in the amount of \$14,680, and a civil  
 7 penalty in the amount of \$130,000 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1].  
 8 Defendant shall satisfy this obligation by paying \$274,680 to the Securities and Exchange  
 9 Commission within 14 days after entry of this Final Judgment.

10 Defendant may transmit payment electronically to the Commission, which will provide  
 11 detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a  
 12 bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>.  
 13 Defendant may also pay by certified check, bank cashier's check, or United States postal money  
 14 order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

15 Enterprise Services Center  
 16 Accounts Receivable Branch  
 6500 South MacArthur Boulevard  
 17 Oklahoma City, OK 73169

18 and shall be accompanied by a letter identifying the case title, civil action number, and name of this  
 19 Court; Roshanlal Chaganlal as a defendant in this action; and specifying that payment is made  
 20 pursuant to this Final Judgment.

21 Defendant shall simultaneously transmit photocopies of evidence of payment and case  
 22 identifying information to the Commission's counsel in this action. By making this payment,  
 23 Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the  
 24 funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this  
 25 Final Judgment to the United States Treasury.

26 The Commission may enforce the Court's judgment for disgorgement and prejudgment  
 27 interest by moving for civil contempt (and/or through other collection procedures authorized by law)  
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1 at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment  
2 interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

3 IV.

4 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of  
5 exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, any debt  
6 for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this  
7 Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered  
8 in connection with this proceeding, is a debt for the violation by Defendant of the federal securities  
9 laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the  
10 Bankruptcy Code, 11 U.S.C. § 523(a)(19). Nothing in this Final Judgment, including this paragraph,  
11 affects Defendant's rights under the Fifth Amendment in any proceeding, regardless whether the  
12 Commission is a party.


13 V.

14 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain  
15 jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

16 VI.

17 There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil  
18 Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.  
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20 Dated: September 21, 2016  
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25 Hon. Haywood S. Gilliam, Jr.  
26 UNITED STATES DISTRICT JUDGE  
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